

## 0552 Office of the Inspector General

The Office of the Inspector General oversees the state's correctional system through audits, special reviews, and investigations of the Department of Corrections and Rehabilitation. Although the duties required of the Inspector General's Office are complex, its mission is clear: to protect public safety by safeguarding the integrity of California's correctional system.

The Office of the Inspector General's Bureau of Audits and Investigations promotes accountability through objective, independent audits, special reviews, inspections, and investigations of California's correctional system. As a result of the audits, reviews, inspections, and investigations, the Inspector General provides impartial analysis and policy recommendations to the Governor, the Legislature, correctional administrators, and the public. The Office of the Inspector General is mandated to perform baseline audits of correctional institutions and wardens in addition to evaluating the qualifications of warden and superintendent candidates whose names have been submitted by the Governor.

Established within the Office of the Inspector General is the Bureau of Independent Review, which monitors internal affairs investigations conducted by the Department of Corrections and Rehabilitation to ensure they are performed in a timely and professionally sound manner through the oversight of those investigations and the employee discipline process. With regional offices throughout the state, the bureau provides contemporaneous oversight as internal affairs investigations are conducted.

In addition, the Public Safety and Offender Rehabilitation Services Act of 2007, Chapter 7, Statutes of 2007, created the California Rehabilitation Oversight Board (Board) within the Office of the Inspector General. The Board's mandate is to examine the Department of Corrections and Rehabilitation's various mental health, substance abuse, educational, and employment programs for inmates and parolees. The Board meets quarterly to recommend modifications, additions, and eliminations of offender rehabilitation and treatment programs. The Board also submits biannual reports to the Governor, the Legislature, and the public to convey its findings on the effectiveness of treatment efforts, rehabilitation needs of offenders, gaps in offender rehabilitation services, and levels of offender participation and success.

### 3-YR EXPENDITURES AND POSITIONS (Summary of Program Requirements)

	Positions			Expenditures		
	2006-07	2007-08	2008-09	2006-07*	2007-08*	2008-09*
10 Office of the Inspector General	81.1	108.9	144.0	\$14,088	\$19,170	\$25,552
<b>TOTALS, POSITIONS AND EXPENDITURES (All Programs)</b>	<b>81.1</b>	<b>108.9</b>	<b>144.0</b>	<b>\$14,088</b>	<b>\$19,170</b>	<b>\$25,552</b>
<b>FUNDING</b>				<b>2006-07*</b>	<b>2007-08*</b>	<b>2008-09*</b>
0001 General Fund				\$14,088	\$19,170	\$25,552
<b>TOTALS, EXPENDITURES, ALL FUNDS</b>				<b>\$14,088</b>	<b>\$19,170</b>	<b>\$25,552</b>

### LEGAL CITATIONS AND AUTHORITY

#### DEPARTMENT AUTHORITY

Penal Code, Part III, Title 7, Chapter 8.2, Sections 6125 to 6133 and Section 6140.

### MAJOR PROGRAM CHANGES

- Medical Investigation Monitoring-The Budget includes \$878,000 for the Bureau of Independent Review to monitor investigations of serious misconduct by medical staff at the California Department of Corrections and Rehabilitation (CDCR). This monitoring program is proposed in conjunction with the federal health care Receiver in the case of Plata v. Schwarzenegger.
- Monitoring of Use-of-Force Meetings-The Budget includes \$890,000 for the Bureau of Independent Review to attend monthly use-of-force meetings at CDCR. This activity is a critical step in substantiating that CDCR is in compliance with the use-of-force remedial plan for Madrid v. Tilton.

### BUDGET-BALANCING REDUCTIONS

- The Budget includes General Fund reductions of \$1.7 million in 2008-09.
- The Bureau of Independent Review (BIR) has been exempted from the budget balancing reductions. The BIR is responsible for monitoring Madrid v. Tilton compliance and reductions to this unit would hamper the state's ability to comply with the court order.
- Major budget balancing reductions include a reduction of \$1.5 million and 8.2 positions in 2008-09 for the Bureau of Audits and Investigations. This reduction will be achieved by reducing the number of audits and investigations required to be completed annually. An additional \$202,000 and 2.0 positions is being reduced from Administration in recognition of this reduced workload.

\* Dollars in thousands, except in Salary Range.

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## DETAILED BUDGET ADJUSTMENTS

	2007-08*			2008-09*		
	General Fund	Other Funds	Positions	General Fund	Other Funds	Positions
<b>Baseline Adjustment Descriptions</b>						
• Bureau of Audits and Investigations Workload	\$-	\$-	-	\$3,761	\$-	19.0
• AB 971 (Chapter 709, Statutes of 2006): Superintendent Vetting	-	-	-	959	-	4.8
• Monitoring CDCR Use-of-Force Meetings (Madrid)	-	-	-	890	-	3.8
• Medical Investigations Monitoring (Plata)	627	-	2.8	878	-	4.8
• Information Technology and Administrative Staff Workload	-	-	-	690	-	5.7
• Employee Compensation Adjustment	271	-	-	313	-	-
• Price Increase	-	-	-	97	-	-
• Control Section 3.60 Retirement Rate Adjustment	72	-	-	72	-	-
• BL 07-31 Adjustment	-4	-	-	-4	-	-
• Control Section 4.04 Adjustment	-102	-	-	-102	-	-
• One-Time Cost Reductions	-	-	-	-308	-	-
<b>Totals, Baseline Adjustments</b>	<b>\$864</b>	<b>\$-</b>	<b>2.8</b>	<b>\$7,246</b>	<b>\$-</b>	<b>38.1</b>
<b>TOTALS, BUDGET ADJUSTMENTS</b>	<b>\$864</b>	<b>\$-</b>	<b>2.8</b>	<b>\$7,246</b>	<b>\$-</b>	<b>38.1</b>
<b>Other Adjustments <sup>1/</sup></b>						
• Budget-Balancing Reductions	-	-	-	-1,672	-	-10.2
<b>REVISED TOTALS, BUDGET ADJUSTMENTS</b>	<b>\$864</b>	<b>\$-</b>	<b>2.8</b>	<b>\$5,574</b>	<b>\$-</b>	<b>27.9</b>

<sup>1/</sup> These dollars and PYs are included in the General Government agency, therefore not included in the other fiscal statements for this department. These totals are also not included in the applicable Summary Schedules for this department.

## DETAILED EXPENDITURES BY PROGRAM (Program Budget Detail)

		2006-07*	2007-08*	2008-09*
<b>PROGRAM REQUIREMENTS</b>				
<b>10</b>	<b>OFFICE OF THE INSPECTOR GENERAL</b>			
	<b>State Operations:</b>			
0001	General Fund	\$14,088	\$19,170	\$25,552
	<b>Totals, State Operations</b>	<b>\$14,088</b>	<b>\$19,170</b>	<b>\$25,552</b>
<b>TOTALS, EXPENDITURES</b>				
	State Operations	14,088	19,170	25,552
	<b>Totals, Expenditures</b>	<b>\$14,088</b>	<b>\$19,170</b>	<b>\$25,552</b>

## EXPENDITURES BY CATEGORY (Summary By Object)

	1 State Operations			Expenditures		
	2006-07	2007-08	2008-09	2006-07*	2007-08*	2008-09*
<b>PERSONAL SERVICES</b>						
Authorized Positions (Equals Sch. 7A)	81.1	108.0	108.0	\$7,370	\$9,898	\$10,023
Total Adjustments	-	5.0	40.0	-	472	3,991
Estimated Salary Savings	-	-4.1	-4.0	-	-136	-311
<b>Net Totals, Salaries and Wages</b>	<b>81.1</b>	<b>108.9</b>	<b>144.0</b>	<b>\$7,370</b>	<b>\$10,234</b>	<b>\$13,703</b>
Staff Benefits	-	-	-	2,541	4,486	6,066
<b>Totals, Personal Services</b>	<b>81.1</b>	<b>108.9</b>	<b>144.0</b>	<b>\$9,911</b>	<b>\$14,720</b>	<b>\$19,769</b>
<b>OPERATING EXPENSES AND EQUIPMENT</b>				<b>\$4,177</b>	<b>\$4,450</b>	<b>\$5,783</b>
<b>TOTALS, POSITIONS AND EXPENDITURES, ALL FUNDS (State Operations)</b>				<b>\$14,088</b>	<b>\$19,170</b>	<b>\$25,552</b>

\* Dollars in thousands, except in Salary Range.

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**DETAIL OF APPROPRIATIONS AND ADJUSTMENTS (Reconciliation with Appropriations)**

<b>1 STATE OPERATIONS</b>	<b>2006-07*</b>	<b>2007-08*</b>	<b>2008-09*</b>
<b>0001 General Fund</b>			
APPROPRIATIONS			
001 Budget Act appropriation	\$15,225	\$18,306	\$25,552
Allocation for employee compensation	508	271	-
Adjustment per Section 3.60	70	72	-
Adjustment per Section 4.04	-	-102	-
Adjustment per Section 4.75 Statewide Surcharge	11	-	-
Adjustment per Section 15.25	-	-4	-
Transfer from Item 5225-002-0001 per Provision 2	-	627	-
<b>Totals Available</b>	<b>\$15,814</b>	<b>\$19,170</b>	<b>\$25,552</b>
Unexpended balance, estimated savings	-1,726	-	-
<b>TOTALS, EXPENDITURES</b>	<b>\$14,088</b>	<b>\$19,170</b>	<b>\$25,552</b>
<b>TOTALS, EXPENDITURES, ALL FUNDS (State Operations)</b>	<b>\$14,088</b>	<b>\$19,170</b>	<b>\$25,552</b>

**CHANGES IN AUTHORIZED POSITIONS**

	<b>Positions</b>			<b>Expenditures</b>		
	<b>2006-07</b>	<b>2007-08</b>	<b>2008-09</b>	<b>2006-07*</b>	<b>2007-08*</b>	<b>2008-09*</b>
Totals, Authorized Positions	81.1	108.0	108.0	\$7,370	\$9,898	\$10,023
Salary Adjustments	-	-	-	-	151	151
<b>Workload and Administrative Adjustments:</b>				<b>Salary Range</b>		
Sr. Assistant Inspector General	-	1.0	1.0	8,910-11,002	77	132
DIG In-charge	-	-	2.0	8,895-9,805	-	235
Special Assistant Inspector General	-	3.0	7.0	8,486-10,477	220	880
DIG Senior	-	-	3.0	7,499-9,065	-	336
Systems Software Specialist III (Technical)	-	-	1.0	6,110-7,796	-	83
Deputy Inspector General	-	-	17.0	5,061-8,450	-	1,751
Assoc Information Systems Analyst	-	-	1.0	4,619-5,897	-	63
Supv of Technical Publications	-	-	1.0	5,067-6,114	-	67
AGPA	-	-	1.0	4,400-5,348	-	58
Legal Secretary	-	1.0	1.0	3,038-3,878	24	41
Staff Services Analyst	-	-	2.0	2,817-4,446	-	87
Office Technician (T)	-	-	3.0	2,686-3,264	-	107
<b>Workload and Administrative Totals</b>	<b>-</b>	<b>5.0</b>	<b>40.0</b>	<b>\$-</b>	<b>\$321</b>	<b>\$3,840</b>
<b>Total Adjustments</b>	<b>-</b>	<b>5.0</b>	<b>40.0</b>	<b>\$-</b>	<b>\$472</b>	<b>\$3,991</b>
<b>TOTALS, SALARIES AND WAGES</b>	<b>81.1</b>	<b>113.0</b>	<b>148.0</b>	<b>\$7,370</b>	<b>\$10,370</b>	<b>\$14,014</b>

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